



JC10 R&D PCT/PTO 2 8 JUN 2001

Receipt

PATENT APPLICATION

#9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Ging Hauw KHOE, Myint ZAW, Patricia Salini
Serial no. : 09/463,423
Filed : with an effective filing date July 22, 1998
For : PHOTO-ASSISTED OXIDATION OF
INORGANIC SPECIES IN AQUEOUS
SOLUTIONS
1744
Group Art Unit : OCT 31 2001 :
Examiner :
Docket : TC 1700 : GRIHAC P28AUS

The Commissioner of Patents and Trademarks
Washington, D.C. 20231

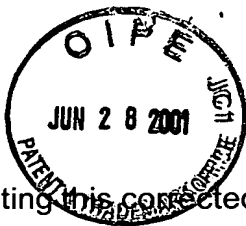
SECOND REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Further to our Request mailed on May 8, 2000, please be advised that the filing receipt is incorrect in that it indicates the filing date of this application as **March 7, 2000**, when in fact this was the date that the Notification of Missing Requirements Under 35 U.S.C. 371 was mailed by the United States Patent and Trademark Office. Please note that the date when all of the remaining filing documents were finally filed with the United States Patent and Trademark Office was **April 7, 2000**, which is the 35 U.S.C. 102(e) Date, as can be seen on the attached copy of the Notification of Acceptance and confirmed by the "date in" on the attached copy of the Express Mail Certificate. A copy of the originally filed Request for Corrected Filing Receipt as well as supporting documentation are attached hereto.

The Applicant respectfully requests that a corrected filing receipt be issued, in **due course**, which contains the **correct** filing date for this case. The Applicant is

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09/463,423

awaiting this corrected Filing Receipt to forward the same to the Assignment Services Division so that the filing date on the Notice of Recordation can be corrected as well.

If the Patent and Trademark Office has any questions concerning the above, please contact the undersigned.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

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SEP 20 2001

Respectfully submitted,

TC 1700

Michael J. Bujold, Reg. No. 32,018

Customer No. 020210

Davis and Bujold

Fourth Floor

500 North Commercial Street

Manchester NH 03101

Telephone 603-624-9220

Facsimile 603-624-9229

E-mail: patent@davisandbujold.com

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on June 26, 2001.

By: _____

Print Name: Michael J. Bujold

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In re Appln. of: **Jauw KHOE, Myint ZAW, Patricia Salini
PRASAD and Maree Therese EMETT**

Serila no. : 09/463,423
Filed : with an effective filing date of July 22, 1998
For : PHOTO-ASSISTED OXIDATION OF INORGANIC SPECIES IN
AQUEOUS SOLUTIONS
Group Art Unit : 1744
Examiner :
Docket : GRIHAC P28AUS

RECEIVED : 1 page request for corrected filing receipt,
1 page copy of filing receipt, 1 page copy of
Notice of Acceptance.

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Ging Hauw KHOE, Myint ZAW, Patricia Salini
Serial no. : 09/463,423
Filed : with an effective filing date July 22, 1998
For : PHOTO-ASSISTED OXIDATION OF
INORGANIC SPECIES IN AQUEOUS
SOLUTIONS
Group Art Unit : 1744
Examiner :
Docket : GRIHAC P28AUS

The Commissioner of Patents and Trademarks
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Attached is a copy of the filing receipt issued in the above-identified application. The filing receipt is incorrect in that it states the filing date as March 7, 2000 when the actual date is April 7, 2000. A copy of the relevant pages of the originally filed application, which contains the correct information, is attached hereto.

The Applicant respectfully requests that a corrected filing receipt be issued which contains complete and accurate information concerning the filing particulars of this case. If the Patent and Trademark Office has any questions concerning the above, please contact the undersigned.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,

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Michael J. Bujold, Reg. No. 32,018
Customer No. 020210
Davis and Bujold
Fourth Floor
500 North Commercial Street
Manchester NH 03101
Telephone 603-624-9220
Facsimile 603-624-9229
E-mail: patent@davisandbujold.com

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on May 8, 2000.

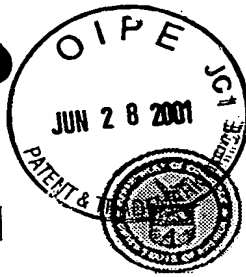
By: Michael J. Bujold
Print Name: Michael J. Bujold

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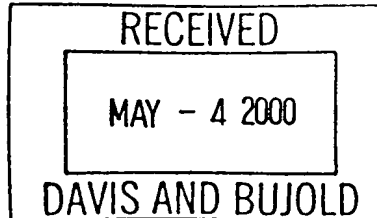
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FILING RECEIPT

OC000000005078741

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/463,423	03/07/2000	1744	1100	GRIHACP28AU	10	1	1

DAVIS & BUJOLD
500 NORTH COMMERCIAL STREET
FOURTH FLOOR
MANCHESTER, NH 03101

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Date Mailed: 04/28/2001
SEP 20 2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)GING HAUW KHOE, CRONULLA, AUSTRALIA;
MYINT ZAW, LIDCOMBE, AUSTRALIA;
PATRICIA SALINI PRASAD, GUILDFORD, AUSTRALIA;
MAREE THERESE EMETT, PENNANT HILLS, AUSTRALIA;

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Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/AU98/00576 07/22/1998

Foreign Applications

AUSTRALIA P0 8196 07/23/1997

If Required, Foreign Filing License Granted 04/26/2000

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Title

PHOTO-ASSISTED OXIDATION OF INORGANIC SPECIES IN AQUEOUS SOLUTIONS

Preliminary Class

422

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

U.S. APPLICATION NO.	09/463423	RECEIVED KHOE APR 24 2000 DAVIS AND BUJOLD	ATTY. DOCKET NO.	GRIHAC P28AU
Michael J. Bujold Davis and Bujold 500 North Commercial St. 4th floor Manchester, NH 03101		INTERNATIONAL APPLICATION NO. PCT/AU98/00576		
		LA. FILING DATE	PRIORITY DATE	
		22 JUL 98	23 JUL 97	

**NOTIFICATION OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C. 371
AND 37 CFR 1.494 OR 1.495**

1. The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated Office (37 CFR 1.494), ☒ an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is **ACCEPTED** for national patentability examination in the United States Patent and Trademark Office.

2. The United States Application Number assigned to the application is shown above and the relevant dates are:

07 APR 2000
35 U.S.C. 102(e) DATE

07 APR 2000
DATE OF RECEIPT OF
35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371(C) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

3. ☒ A request for immediate examination under 35 U.S.C. 371(f) was received on 21 JAN 2000 and the application will be examined in turn.

4. The following items have been received:

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☐ a non-English language.

☒ English.

☐ Translation of the international application into English.

☒ Oath or Declaration of inventor(s) for DO/EO/US.

☐ Copy of Article 19 amendments. ☐ Translation of Article 19 amendments into English.

The Article 19 amendments ☐ have ☐ have not been entered

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Group Art Unit :
Examiner :
Docket :

In re Appln. of: Ging Hauw KHOE, Myint ZAW, Partic
Serial no. : PRASAD and Maree Therese EMERT
Filed : 09/463,423
For : with an effective filing date of July 22, 1998
PHOTO-ASSISTED OXIDATION OF INORGANIC SPECIES
IN AQUEOUS SOLUTIONS
GRIHAC P28AUS
1 page express mail certificate, 1 page resp.
to notice of missing parts, 1 page copy of
notice of missing parts, 3 pages declaration
and power of attorney, check \$130.

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MEMO	
GRIHACP28AUS MISS PARTS 09/463423	
"004348" "011402024" 1010005162"	
PAY TO THE ORDER OF	
DAVIS & BUJOLD	
500 N. COMMERCIAL ST. PH. 603.624.9220	
MANCHESTER, NH 03101	
CENTRIX BANK & TRUST	
BEDFORD, NH 03110-0454	
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WASHINGTON D.C. 20231	
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AUTHORIZED SIGNATURE	



Practitioner's Docket No.: GRHAC P28AUS

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Ging Hauw KHOE, Myint ZAW, Patricia Salini PRASAD and
Maree Therese EMETT

Serial No.: 09/463,423

Group No.:

Filed: with an effective filing date July 22, 1998

Examiner:

For: PHOTO-ASSISTED OXIDATION OF INORGANIC SPECIES IN AQUEOUS
SOLUTIONS

Assistant Commissioner for Patents
Washington, D.C. 20231

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EXPRESS MAIL CERTIFICATE

"Express Mail" label number: EL248837323US

Date of Deposit: April 7, 2000

I hereby state that the following attached paper or fee:

1 page response to notice of missing parts, 1 page copy of notice of missing parts, 3
pages declaration and power of attorney form, check \$130, 1 return postcard.

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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

Michael J. Burton

Michael J. Burton

Signature of person mailing paper or fee

NOTE: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings, it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Ging Hauw KHOE, Myint ZAW, Patricia Salini
Serial no. : PRASAD and Maree Therese EMETT
Filed : 09/463,423
For : with an effective filing date July 22, 1998
: PHOTO-ASSISTED OXIDATION OF
: INORGANIC SPECIES IN AQUEOUS
: SOLUTIONS
Group Art Unit :
Examiner :
Docket : GRIHAC P28AUS

The Commissioner of Patents and Trademarks
Washington, D.C. 20231

**RESPONSE TO NOTICE OF MISSING REQUIREMENTS
UNDER 35 U.S.C. 371**

In response to the Notice of Missing Requirements under 35 U.S.C. 371, mailed March 7, 2000, a copy of the Notice is being returned to the United States Patent Office, as requested, along with a signed Declaration and Power of Attorney form. Also attached, please find our firm's check in the amount of \$130 which covers the surcharge on the large entity basis.

If anything further is required before a filing receipt can be issued for this application, please immediately contact the undersigned attorney.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,

Michael J. Bujold
Michael J. Bujold, Reg. No. 32,018
Customer No. 020210
Davis and Bujold
Fourth Floor
500 North Commercial Street
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E-mail: patent@davisandbujold.com

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09/463423

U.S. APPLICATION NO.

09/463,423

JUN 28 2001

PATENT & TRADEMARK OFFICE

Michael J. Bujold
Davis and Bujold
500 North Commercial St.
4th floor
Manchester NH 03101

5071

Patent and Trademark Office

Address: ASSISTANT
Box PCT
Washington, D.C. 20231

OFFICE FOR PATENTS

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

G

GRIHAC P28AU

INTERNATIONAL APPLICATION NO.

PCT/AU98/00576

I.A. FILING DATE

PRIORITY DATE

07/22/98

07/23/97

DATE MAILED

03/07/00

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

☐ a Designated Office (37 CFR 1.494),

☒ an Elected Office (37 CFR 1.495);

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☐ a non-English language.

☒ English.

☐ Translation of the international application into English.

☐ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 21 Jan 2000 and

☒ Information Disclosure Statement(s) filed 21 Jan 2000 and

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed

☐ Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☐ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

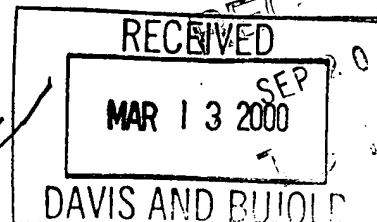
☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN THIS

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GRIHAC P28AUS

COMBINED DECLARATION AND POWER OF ATTORNEY

(Original, Design, National Stage of PCT, Supplemental)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type: (check one applicable item below)

- ☐ original
- ☐ design
- ☐ supplemental
- ☒ National Stage of PCT
- ☐ divisional (see added page)
- ☐ continuation (see added page)
- ☐ continuation-in-part (see added page)

INVENTORSHIP IDENTIFICATION

My/our residence, post office address and citizenship is/are as stated below next to my/our name. I/We believe that the named inventor or inventors listed below is/are the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

PHOTO-ASSISTED OXIDATION OF INORGANIC SPECIES IN AQUEOUS SOLUTIONS

SPECIFICATION IDENTIFICATION

The specification of which: (complete (a), (b) or (c))

- (a) ☐ is attached hereto.
- (b) ☐ was filed on with an effective filing date July 22, 1998 as Serial No. _____ or Express Mail No. _____ as Serial No. (not yet known) and was amended on _____ (if applicable).
- (c) ☒ was described and claimed in PCT International Application No. PCT/AU98/00576 filed on 22 July 1998 and as amended under PCT Article 19 on _____ (if any).

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name(s) and registration number(s))

Anthony G. M. Davis
Michael J. Bujold
Scott A. Daniels

Registration No. 27,868
Registration No. 32,018
Registration No. 42,462

☐ Attached as part of this Declaration and Power of Attorney is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

Send Correspondence to:

Davis and Bujold
Fourth Floor
500 N. Commercial Street
Manchester, NH 03101

Direct Telephone Calls to:
(603) 624-9220

Direct Telefaxes to:
(603) 624-9229

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ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I/We hereby state that I/we have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I/We acknowledge the duty to disclose to the United States Patent Office all information which is known to be material to patentability of this application as defined in § 1.56 of Title 37 of the Code of Federal Regulations.

PRIORITY CLAIM

I/We hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me/us on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

COUNTRY	APPLICATION NO.	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
Australia	PO 8196	23 July 1997	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

☐ I/We hereby claim the benefit, under 35 U.S.C. 119(e), of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplementary priority data sheet PTO/SB/02B attached hereto.

DECLARATION

I/We hereby declare that all statements made herein of my/our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first joint inventor: Ging Hauw KHOE

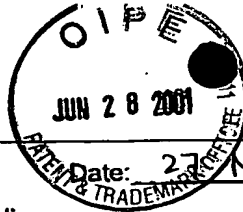
Inventor's signature: G. Khoe

Date: 28/3/2002

Residence: 125 Nicholson Parade, Cronulla NSW 2232, Australia

Post Office Address: Same as above Country of Citizenship: Australia

Full name of second joint inventor: Mvint ZAW
Inventor's signature: *Mvint ZAW* Date: 27 March 2000
Residence: 41 Delhi Street, Lidcombe NSW 2141 Australia
Post Office Address: Same as above Country of Citizenship: Australia



Full name of third joint inventor: Patricia Salini PRASAD
Inventor's signature: *Prasad* Date: 28th March, 2000
Residence: 604 Woodville Road, Guildford NSW 2161, Australia
Post Office Address: Same as above Country of Citizenship: Australia

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SEP 20 2001

Full name of fourth joint inventor: Maree Therese EMETT
Inventor's signature: *M. Emett* Date: 28th March 2000
Residence: 17 Stevens Street, Pennant Hills NSW 2120 Australia
Post Office Address: Same as above Country of Citizenship: Australia

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JC10 filed PCT/PTO 2 8 JUN 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 6300

SERIAL NUMBER 09/463,423	FILING DATE 04/07/2000 RULE	CLASS 422	GROUP ART UNIT 1744	ATTORNEY DOCKET NO. GRIHACP28AU
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APPLICANTS
 GING HAUW KHOE, CRONULLA, AUSTRALIA;
 MYINT ZAW, LIDCOMBE, AUSTRALIA;
 PATRICIA SALINI PRASAD, GUILDFORD, AUSTRALIA;
 MAREE THERESE EMETT, PENNANT HILLS, AUSTRALIA;
**** CONTINUING DATA *******

THIS APPLICATION IS A 371 OF PCT/AU98/00576 07/22/1998

**** FOREIGN APPLICATIONS *******

AUSTRALIA P0 8196 07/23/1997

IF REQUIRED, FOREIGN FILING LICENSE GRANTED**** 04/26/2000**

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY AUSTRALIA	SHEETS DRAWING 10	TOTAL CLAIMS 1	INDEPENDENT CLAIMS 1
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

ADDRESS
 DAVIS & BUJOLD
 500 NORTH COMMERCIAL STREET
 FOURTH FLOOR
 MANCHESTER, NH 03101
TITLE

PHOTO-ASSISTED OXIDATION OF INORGANIC SPECIES IN AQUEOUS SOLUTIONS

FILING FEE RECEIVED 1100	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

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